I. Request for Certification from RBHS Principal Investigator/Study Director Releasing or Providing Access to Technology or Technical Data to a Foreign Person in the United States

With respect to technology or technical data the RBHS Principal Investigator/Study Director (PI/Director), named below, will release or otherwise provide access to ___________________________ [complete with name of foreign person] (“Beneficiary”), the PI/Director certifies that he/she has reviewed the University’s policy on Export Administration Regulations (EAR) and the International Traffic In Arms Regulations, and understands the policy and its implications for the research or project which PI/Director is undertaking with Beneficiary.

Principal Investigator/Study Director certifies (check Box 1 or Box 2 as appropriate):

☐ 1. A license is not required from either U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the Beneficiary because it meets either the "Fundamental Research" or "Education" exemption, or other exemptions as outlined in the attached “Export Control Guidance” document; or

☐ 2. A license is required from either U.S. Department of Commerce and/or the U.S. Department of State to release such technology or technical data to the Beneficiary and the Principal Investigator/Study Director will prevent access to the controlled technology or technical data by the Beneficiary until and unless the PI/Director and/or the University has received the required license or other authorization to release it to the Beneficiary. In the event a license is required, PI/Director agrees to review the need for such a license with PI/Director’s Office of Research and Sponsored Programs (ORSP). ORSP may refer the request for a license to an appropriately formed RBHS committee for further consideration and the determination whether application for such a license is warranted. Under no circumstance shall PI/Director permit Beneficiary to have access to controlled technology or technical data until the request for a license has been reviewed, applied for and issued by the applicable federal agency.

Principal Investigator/Study Director understands and acknowledges that information may be released from PI/Director’s records or from the University’s records in the event that U.S. Citizenship and Immigration Services (USCIS) needs to determine eligibility for Beneficiary to the technology or data being sought. PI/Director recognizes the authority of USCIS to conduct audits of this request using publicly available open source information. PI/Director also recognize that supporting evidence submitted may be verified by USCIS through any means determined appropriate by USCIS, including, but not limited to, on-site compliance reviews.

By completing and signing below, the Principal Investigator/Study Director certifies that the information provided herein is true and accurate to the best of his or her knowledge. PI/Director further certifies that he/she has read the attached “Export Control Guidance” and has no questions as to this attestation.

Name of Principal Investigator/Study Director: ______________________________

School and Department: ______________________________

Phone and email address: ______________________________

Signature: ___________________________ Date: __________

II. Approvals by Department Chair and Research Dean:

Name of Department Chair: ______________________________

Signature: ___________________________ Date: __________

Name of Research Dean: ______________________________

Signature: ___________________________ Date: __________